

NOTICE OF RULE ADOPTION—FINAL RULE

STATE OF MISSISSIPPI
SECRETARY OF STATE'S OFFICE
BUSINESS REGULATION & ENFORCEMENT DIVISION

Secretary of State's Office
Business Regulation & Enforcement Division
c/o Jim Nelson, Assistant Secretary of State
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Specific Legal Authority Authorizing the promulgation of
Rule: Securities - §75-71-107; Charities - §79-11-504; Pre-
Need - §75-63-65

Reference to Rules repealed, amended or suspended by the
Proposed Rule: Securities Act Rules; Charitable Solicitations
Act Rules; Pre-Need Cemetery & Funeral Registration Act
Rules.

Date Rule Proposed: 09/30/05

Explanation of the Purpose of the Proposed Rule and the reason(s) for proposing the rule: (1) Amendment to Charitable Solicitation Act Rule 207, Notice of Exemption, to clarify that a properly completed Notice of Exemption form must be filed along with the documentation required in the form instructions. Properly completed forms and submitted documentation speeds the processing of the requested exemption to the benefit of the applicant, and reduces the cost to the division.

(2) Adoption of new Charitable Solicitations Act Rule 213, Books and Records. Proposed Rule identifies the books and records charitable organizations must maintain in order to be in compliance with §79-11-518. Such itemization of examples will aid the regulated entity in understanding what is required of it and will benefit the division by reducing the amount of time required to perform an examination of the regulated entity and therefore the cost to the division.

The Agency Rule Making Record for this rule including any written comments received during the comment period and the record of any oral proceeding is available for public inspection by contacting the Agency at the above address.

☐ An oral proceeding was held on this rule:

Date:
Time:
Place:

☒ An oral proceeding was not held on this rule.

The Agency has considered the written comments and the presentations made in any oral proceedings, and

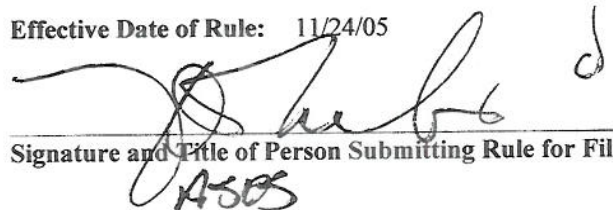
☒ This rule as adopted is without variance from the proposed rule.

☐ This rule as adopted differs from the proposed rule as there are minor editorial changes which affect the form rather than the substance of the rule.

☐ The rule as adopted differs from the proposed rule. The differences however are:
Within the scope of the matters in the Notice of Proposed Rule Adoption, the logical outgrowth of the contents of the Notice of Proposed Rule Adoption and the comments submitted in response thereto, and the Notice of Proposed Rule Adoption provided fair warning that the outcome of the proposed rule adoption could be the rule in question.

The entire text of the Proposed Rule including the text of any rule being amended or changed is attached.

Effective Date of Rule: 11/24/05


Signature and Title of Person Submitting Rule for Filing